

**Form PTO-1449** (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

MAIL DATE CANCELLED  
PATENT & TRADEMARK OFFICE  
APR 09 2002  
DOCKET NO: 523402500

SERIAL NO: 09/934,448

APPLICANT: John Bunda

FILING DATE: August 21, 2001

GROUP: 2164

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS	FILING DATE IF APPROPRIATE
D.A.	B1	4,674,044	6/1987	Kalmus, et al.			
	B2	5,347,452	9/1994	Bay, Jr.			
	B3	5,787,402	7/1998	Potter, et al.			
	B4	5,950,176	9/1999	Keiser, et al.			
	B5	6,014,643	1/2000	Minton			
	B6	6,016,483	1/2000	Rickard, et al.			
	C1	6,260,025	7/2001	Silverman, et al.			
	C2	6,006,206	12/1999	Smith, et al.			
D.A.	C3	5,987,432	11/1999	Zusman, et al.			

**FOREIGN PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION. YES/NO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

D.A.	B7	Press Release, "3DStockCharts.com Teams Up With ECN Industry Leader," October 1999, pp. 1-2.					
D.A.	B8	"Real Tick III Tutorial," © 1999 The Momentum Trader and Swingtrader.net, 6 pgs.					
D.A.	B9	"Using the Order Entry Screen in Real Tick III," January 1999, pp. 1-2.					
D.A.	AA	Stand & Poor's ComStock, "Xpresso," 5 pages, © 1998 Standard & Poor's ComStock					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

**Form PTO-1449** (modified)

List of Patents and Publications  
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Disclosure Statement  
(Use several sheets if necessary)

ATTY. DOCKET NO: 5226-02600

SERIAL NO: 09/934,448

MAIL DATE CANCELLED

APPLICANT: John Buns

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GROUP: 2164

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
0-1A	C4	5,966,531	10/1999	Skeen, et al.			
↑	C5	5,924,083	7/1999	Silverman, et al.			
	C6	5,924,082	7/1999	Silverman, et al.			
	C7	5,727,165	3/1998	Ordish, et al.			
	C8	5,195,031	3/1993	Ordish			
	C9	5,136,501	8/1992	Silverman, et al.			
	C10	5,077,665	12/1991	Silverman, et al.			
	C11	5,034,916	7/1991	Ordish			
0-1A	C12	5,003,473	3/1991	Richards			

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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

0-1A	C13	"gr8trade from Protrader User's Manual," Protrader Securities Corporation, pp.1-69.; 3/2000					
0-1A	C14	Abell, et al.; "The Sixth Market: The Electronic Investor Revolution;" 12/2000					

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6-18	C15	4,750,135	6/1988	Boilen			
6-18	C16	4,745,559	5/1988	Willis, et al.			
6-18	C17	6,278,982	8/2001	Korhammer, et al.			

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

### Title of Invention

**METHODS AND SYSTEMS FOR SUPPRESSION OF  
STALE OR INVALID DATA IN A SECURITIES QUOTATION  
DISPLAY**

Application Number: 09/934448  
Confirmation Number: 7045  
First Named Applicant: John Bunda  
Attorney Docket Number: 5226-02600  
Art Unit: 2164  
Search string: ( 6477580 or 6421653 or 6446871 or 6247000  
or 6272474 or 6173270 or 6321212 or 4566066  
or 6505174 or 6195647 or 5101353 or 4942616  
or 5915209 or 5999918 or 6112189 ),pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
6A	1	6477580	2002-11-05	Bowman-Amuah			
↑	2	6421653	2002-07-16	May			
	3	6446871	2002-09-10	Buckley et al.			
	4	6247000	2001-06-12	Hawkins et al.			
	5	6272474	2001-08-07	Garcia			
	6	6173270	2001-01-09	Cristofich et al.			
	7	6321212	2001-11-20	Lange			
	8	4566066	1986-01-21	Towers			
	9	6505174	2003-01-07	Keiser et al.			
	10	6195647	2001-02-27	Matryn et al.			
	11	5101353	1992-03-31	Lupien et al.			
	12	4942616	1990-07-17	Linstroth et al.			
	13	5915209	1999-06-22	Lawrence			
✓	14	5999918	1999-12-07	Williams et al.			
OK	15	6112189	2000-08-29	Rickard et al.			

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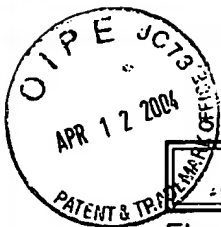
*Akintola*

Examin r Name

Date

AKINTOLA, OLATUNDE

6/29/86



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of  
Invention

METHODS AND SYSTEMS FOR SUPPRESSION OF  
STALE OR INVALID DATA IN A SECURITIES QUOTATION  
DISPLAY

Application Number: 09/934448



Confirmation Number: 7045

First Named Applicant: John Bunda

Attorney Docket Number: 5226-02600

Art Unit: 2164

Examiner: Unknown Unknown

Search string: ( 5809483 or 5946666 or 6401105 or 6408282  
or 6510419 or 20020188548 or 20020178099 or  
20020194111 or 20020194110 or 20030065598  
or 20030083974 ).pn.

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### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
OK	1	5809483	1998-09-15	Broka et al.			
OK	2	5946666	1999-08-31	Nevo et al.			
OK	3	6401105	2002-06-04	Carlin et al.			
OK	4	6408282	2002-06-18	Buist			
OK	5	6510419	2003-01-21	Gatto			

### US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
OK	1	20020188548	2002-09-15	Bunda	A1		
OK	2	20020178099	2002-11-28	Young	A1		
OK	3	20020194111	2002-12-19	Young	A1		
OK	4	20020194110	2002-12-19	Young	A1		



5	20030065598	2003-04-03	Bunda	A1
6	20030083974	2003-05-01	Bunda	A1

Signature *[Signature]*

Examiner Name	Date
AKIN TOLA, OLASODE	6/21/06

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

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<b>Title of Invention</b>	<b>METHODS AND SYSTEMS FOR SUPPRESSION OF STALE OR INVALID DATA IN A SECURITIES QUOTATION DISPLAY</b>																																																												
<p>Application Number: 09/934448 Confirmation Number: 7045 First Named Applicant: John Bunda Attorney Docket Number: 5226-02600 Art Unit: 2164 Search string: ( 5193056 or 4752877 or 6415267 or 6029146 or 5297031 or 6035287 ),pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>04</td><td>1</td><td>5193056</td><td>1993-03-09</td><td>Boes</td><td></td><td></td><td></td></tr><tr><td>0A</td><td>2</td><td>4752877</td><td>1988-06-21</td><td>Roberts et al.</td><td></td><td></td><td></td></tr><tr><td>0D</td><td>3</td><td>6415267</td><td>2002-07-02</td><td>Hagan</td><td></td><td></td><td></td></tr><tr><td>0A</td><td>4</td><td>6029146</td><td>2000-02-22</td><td>Hawkins et al.</td><td></td><td></td><td></td></tr><tr><td>0B</td><td>5</td><td>5297031</td><td>1994-03-22</td><td>Guterman et al.</td><td></td><td></td><td></td></tr><tr><td>0B</td><td>6</td><td>6035287</td><td>2000-03-07</td><td>Stallaert et al.</td><td></td><td></td><td></td></tr></tbody></table> <p>Signature </p> <table border="1"><thead><tr><th>Examiner Name</th><th>Date</th></tr></thead><tbody><tr><td>AKIN TOLU OLADODE</td><td>6/29/06</td></tr></tbody></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	04	1	5193056	1993-03-09	Boes				0A	2	4752877	1988-06-21	Roberts et al.				0D	3	6415267	2002-07-02	Hagan				0A	4	6029146	2000-02-22	Hawkins et al.				0B	5	5297031	1994-03-22	Guterman et al.				0B	6	6035287	2000-03-07	Stallaert et al.				Examiner Name	Date	AKIN TOLU OLADODE	6/29/06
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04	1	5193056	1993-03-09	Boes																																																									
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